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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
HOOLEY et al.

Serial No. 10/540,255

Filed: December 8, 2005



Atty. Ref.: 117-549

TC/A.U.: 2837

Examiner: TBA

For: SET-UP METHOD FOR ARRAY-TYPE SOUND SYSTEM

* * * * *

May 16, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Listed on accompanying Forms PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Copies of the cited foreign patent documents and the cited "Other Documents" were submitted in commonly assigned Application No. 10/544,493, filed August 4, 2005. If the Examiner would like additional copies of these documents to be submitted in this application, Applicants respectfully request that the Examiner contact the undersigned.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

The filing of this IDS does not constitute (1) admission that a citation is available as prior art, (2) an admission a citation is relevant or (3) an admission that a citation has not been already notified.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

1. ☒ This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No statement under 37 C.F.R. § 1.97(e) or fee is required. In the event, a first Office Action has been mailed prior to filing of the present Information Disclosure Statement, the Office is requested to treat the present paper as a submission under 37 C.F.R. § 1.97(c) and charge the undersigned's Deposit Account No. 14-1140 for the fee required by 37 C.F.R. § 1.17(p). The present paper is submitted in duplicate for this purpose.

2. ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

- a. ☐ I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in

37 C.F.R.   1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

- c. ☐ Attached is our Check in the amount of \$180.00 in payment of the fee under 37 C.F.R.   1.17(p).

3. ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered. Attached is our check for \$180.00 in payment of the fee under 37 C.F.R.   1.17(i).

- a. ☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R.   1.97(e)(1).

- b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R.   1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R.   1.97(e)(2).

4. ☐ Relevance of the non-English language document(s) is discussed in the present specification.

5. ☐ The document(s) was/were cited in a corresponding PCT application. A copy of the PCT search report is attached for the Examiner's information.

6. ☐ A concise explanation of the relevance of the non-English language document(s) appears below:

7. ☐ The Examiner's attention is directed to co-pending U.S. Patent Application Nos., which are directed to related technical subject matter. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

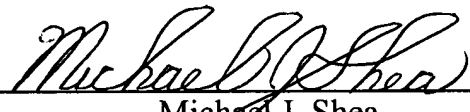
8. ☐ Copies of the documents were cited by or submitted to the Office in Application No. *****, filed *****, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 14-1140 referencing docket number 117-549.

Respectfully submitted,

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INFORMATION DISCLOSURE
CITATION

ATTY. DOCKET NO.

117-549

SERIAL NO.

10/540,255

APPLICANT

HOOLEY et al.

(Use several sheets if necessary)

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2837

FOREIGN PATENT DOCUMENTS

DOCUMENT			DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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		2 239 498	09/1990	JP			ABSTRACT	
		61057198	03/1986	JP			ABSTRACT	
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		03-159400	07/1991	JP			ABSTRACT	

*Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

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[illegible]

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TRANSLATION

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
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40 33 068	4/1991	DE			.	
44 28 500	3/1995	DE			..	
43 43 807	6/1995	DE			ABSTRACT	
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1 026 663	8/2000	EP				
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1 122 973	8/2001	EP				
2 116 929	7/1998	ES			ABSTRACT	
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117-557	10/544,493
Applicant	
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	Komiyama "Distance Control of Sound Images for 3D TV" NHK Laboratories Note, NHK Technical Research Laboratories, Tokyo, No. 406, pp. 1-11, 8/1992.
	"Waveform Inversion for Individual Ambient Sound Control" IBM Technical Disclosure Bulletin, IBM Corp. New York, Vol. 36, No. 9A, pp. 537-540, 9/1993.

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